

Post-market evaluation of a fully repositionable and retrievable aortic valve in 500 patients treated in routine clinical practice: interim results from the RESPOND study

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on behalf of the RESPOND Investigators

Conflict of Interest Disclosure

Within the past 12 months, I or my spouse/partner have had a financial interest/arrangement or affiliation with the organization(s) listed below.

Professor Volkmar Falk, MD

Affiliation/Financial Relationship

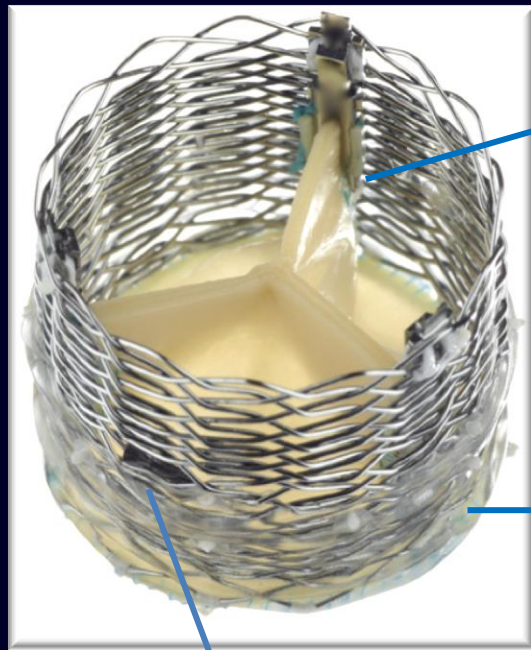
- Institutional Grant/Research Support

Company

- Boston Scientific
- Phillips
- Heartware
- Berlin Heart
- Biotronik

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The LOTUS™ Valve



**Bovine
Pericardium in
Nitinol Frame**

Adaptive Seal

Radiopaque Marker

To aid precise positioning

- Controlled mechanical expansion
- Valve functions early in deployment to provide hemodynamic stability
- Valve is fully repositionable & retrievable throughout the entire deployment process
- Adaptive seal conforms to irregular anatomic surfaces to minimise paravalvular leak

DESIGN

- ➔ Prospective, single arm, multicentre, observational post market study
- ➔ Up to 60 centres in Europe, Asia-Pacific, and South America
- ➔ Available valve sizes: 23mm, 25mm, & 27mm (for native annulus sizes $\geq 20\text{mm}$ to $\leq 27\text{mm}$)
- ➔ Follow-up at discharge, 30 days, and annually 1–5 years

PATIENTS

- ➔ All TAVI patients at each site selected to receive a Lotus Valve were evaluated for enrolment
- ➔ No protocol-specific inclusion/exclusion criteria

INDEPENDENT DATA ASSESSMENTS

- ➔ Core Lab review of baseline, discharge, & 1-year echo data
- ➔ Death and stroke assessed by an Independent Medical Reviewer (IMR)
- ➔ 100% monitoring of all VARC-2 safety events

Primary Endpoint

- All-cause mortality at 30 days and 1 year after the implant procedure
 - Mortality at 30 days will be compared to a performance goal

Secondary Endpoints

- Safety composite of all-cause mortality and disabling stroke at 30 days and 1 year
- In-hospital mortality
- Clinical efficacy composite at 30 days per VARC-2
- Time-related valve safety composite at 1 year per VARC-2
- Grade of paravalvular aortic valve regurgitation pre-discharge
 - Moderate and severe paravalvular aortic valve regurgitation (by echocardiography) will be compared to a performance goal

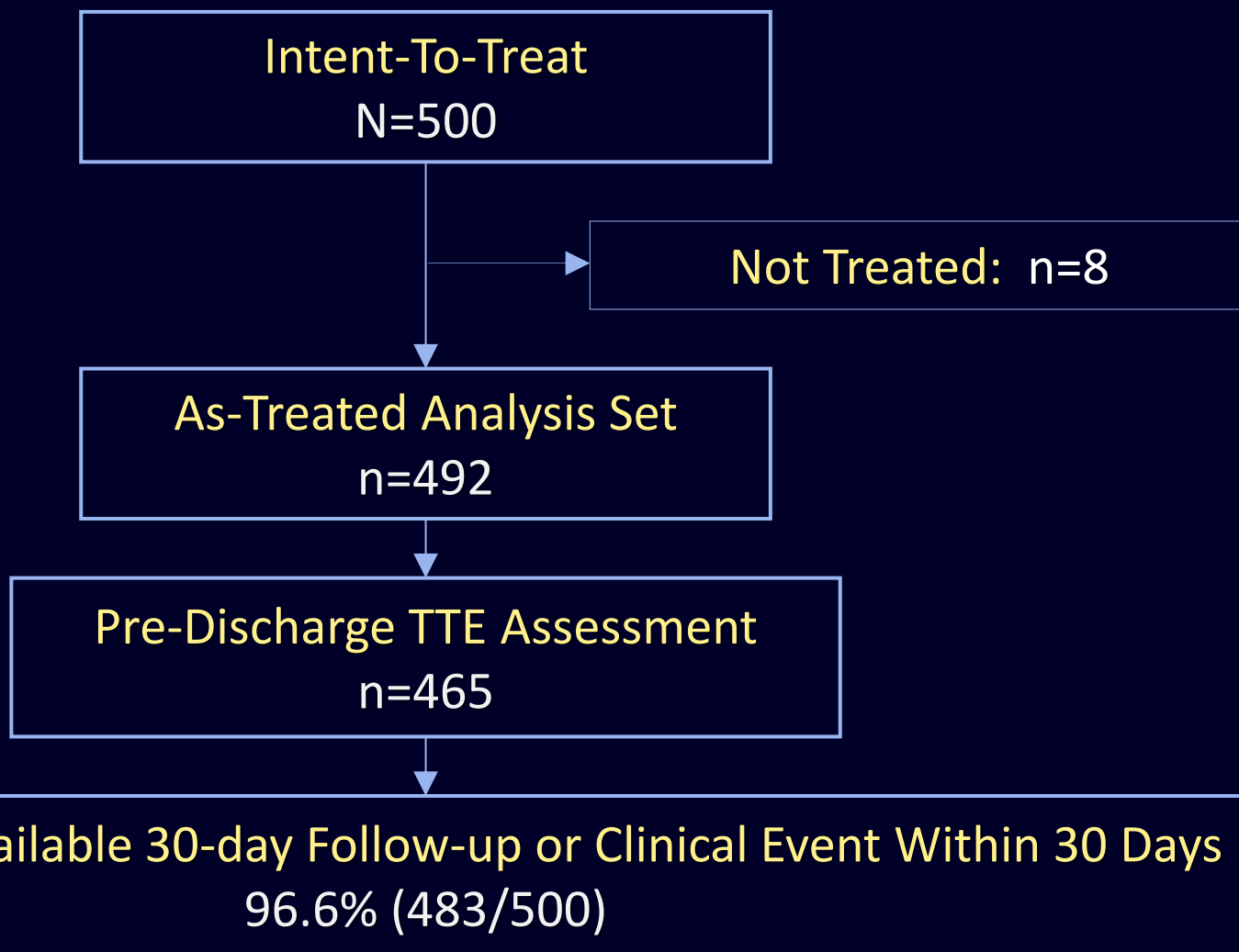
Enrollment

500 Patients Between May 2014 & June 2015

| | Patients | | Patients | | Patients |
|----------------------------------|----------|--|----------|-------------------------------------|----------|
| Sabine Bleiziffer | | Peter Wenaweser | | Stephan Kische | |
| Deutsches Herzzentrum | 62 | University Hospital Bern | 18 | Vivantes Klinikum im Friedrichshain | 8 |
| Muenchen Munich, Germany | | Bern, Switzerland | | Berlin, Germany | |
| David Hildick-Smith | | Mika Laine | | Antonio Colombo | |
| Royal Sussex County Hospital | 52 | University of Helsinki Meilahti Hospital | 14 | Fondazione Centro San Raffaele | 6 |
| Brighton, United Kingdom | | Helsinki, Finland | | Milan, Italy | |
| Jochen Wöhrle | | Francesco Bedogni | | Sanjeevan Pasupati | |
| Universitaetsklinikum Ulm | 52 | Istituto Clinico S. Ambrogio S.p.A. | 14 | Waikato Hospital | 5 |
| Ulm, Germany | | Milan, Italy | | Waikato, New Zealand | |
| Nicolas Van Mieghem | | Karl Eugen Hauptmann | | Raul Moreno | |
| Erasmus Medical Center | 46 | Krankenhaus der Barmherzigen Brüder | 13 | Hospital La Paz | 5 |
| Rotterdam, the Netherlands | | Trier, Germany | | Madrid, Spain | |
| Daniel J. Blackman | | Nikos Werner | | Jan Kovac | |
| The General Infirmary | 38 | University KH Bonn | 12 | Glenfield Hospital | 4 |
| Leeds, United Kingdom | | Bonn, Germany | | Leicester, UK | |
| Mohamed Abdel-Wahab | | Anna Sonia Petronio | | Stephen Brecker | |
| Segeberger Kliniken GmbH | 32 | Azienda Ospedaliero Universitaria Pisana | 9 | St. Georges Hospital | 4 |
| Bad Segeberg, Germany | | Pisa, Italy | | London, UK | |
| Axel Linke | | Julinda Mehilli | | Christoph Nienaber | |
| Herzzentrum Universitaet Leipzig | 30 | Klinikum Grosshadern | 8 | University of Rostock | 3 |
| Leipzig, Germany | | Siegburg, Germany | | Rostock, Germany | |
| Ulrich Gerckens | | Saib Khogali | | Mariano Larman | |
| Gemeinschaftskrankenhaus Bonn | 24 | New Cross Hospital | 8 | Policlinica Guipuzcoa | 2 |
| GmbH Bonn, Germany | | Wolverhampton, UK | | San Sebastian, Spain | |
| Øyvind Bleie | | Peter Boekstegers | | Florian Krackhardt | |
| Haukeland University Hospital | 21 | Helios Klinikum | 8 | Charite Campus Virchow Klinikum | 2 |
| Bergen, Norway | | Siegburg, Germany | | Berlin, Germany | |

Study Flow

500-Patient Interim Analysis



Baseline Characteristics

500-Patient Interim Analysis

Comorbidities & Baseline Scores

| | | | |
|-------------------|------------------|--------------------------|------------------------|
| Age (Years) | 80.7 ± 6.6 (492) | NYHA Class III or IV | 65.2% (321/492) |
| Gender (Female) | 51.2% (252/492) | EuroSCORE 2011 (%) | 8.9 ± 9.1 (457) |
| Diabetes, treated | 23.1% (113/490) | <u>STS Score (%)</u> | <u>6.7 ± 8.2 (414)</u> |
| Baseline PPM | 13.0% (64/492) | Katz Index (frail if <6) | 5.7 ± 0.8 (256) |

Echocardiographic Measurements (Core Lab Assessment)

| | | | |
|------------------------|-----------------|----------------------|-------------------|
| AVA (cm ²) | 0.7 ± 0.2 (446) | LVEF (%) | 50.2 ± 10.4 (373) |
| MR (mod/severe) | 11.2% (53/473) | Mean gradient (mmHg) | 38.2 ± 16.1 (463) |
| AR (mod/severe) | 7.8% (37/473) | Peak gradient (mmHg) | 62.0 ± 24.9 (463) |

Indications for TAVI (Site-Reported)

| | |
|-----------------------------------|-----------------|
| Native valve aortic stenosis | 99.0% (487/492) |
| Tricuspid aortic valve stenosis | 95.5% (470/492) |
| Bicuspid aortic valve stenosis | 3.5% (17/492) |
| Low flow/low gradient | 3.5% (17/492) |
| Lotus in failing prosthetic valve | 0.8% (4/492) |

Procedural Characteristics

500-Patient Interim Analysis

As-Treated (N=492)

| | |
|---|-----------------|
| Valve size implanted | |
| 23mm | 26.2% (129/492) |
| 25mm | 40.0% (197/492) |
| 27mm | 33.5% (165/492) |
| Vascular Access Site | |
| Transfemoral | 97.4% (479/492) |
| Subclavian | 1.2% (6/492) |
| Transaortic | 1.4% (7/492) |
| No balloon valvuloplasty performed | 41.4% (197/476) |
| Conversion to open heart surgery | 0% (0/492) |
| Unplanned use of cardiopulmonary bypass | 0% (0/492) |

Device Success – VARC 2 Metrics

500-Patient Interim Analysis

As-Treated (N=492)

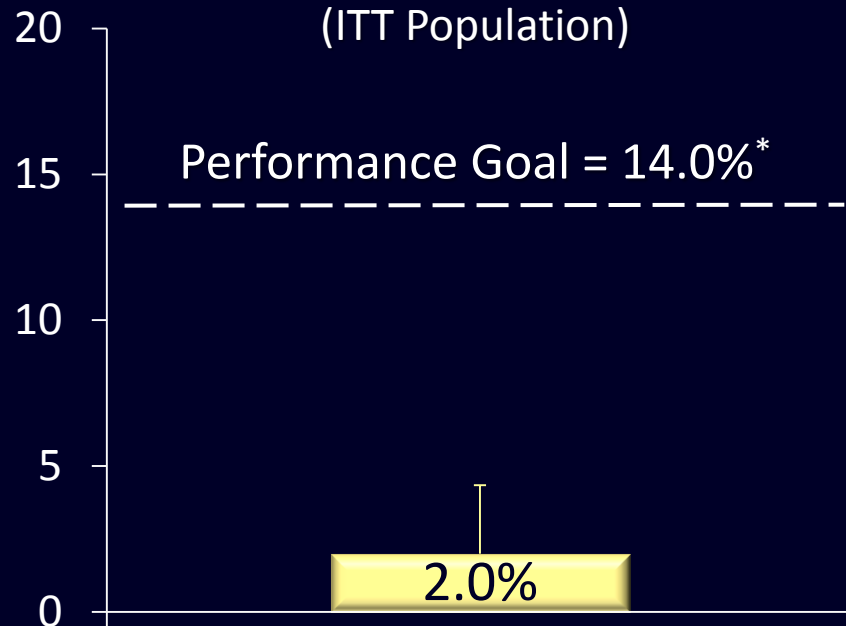
| | |
|---|-----------------|
| No procedural mortality | 100% (492/492) |
| Correct positioning of one valve in proper location | 99.6% (490/492) |
| Mean aortic valve gradient <20 mmHg | 97.2% (446/459) |
| Peak velocity <3 m/s | 96.9% (445/459) |
| No moderate/severe prosthetic valve regurgitation | 99.6% (463/465) |

Primary & Key Secondary Endpoints

500-Patient Interim Analysis

All-Cause Mortality at 30 Days

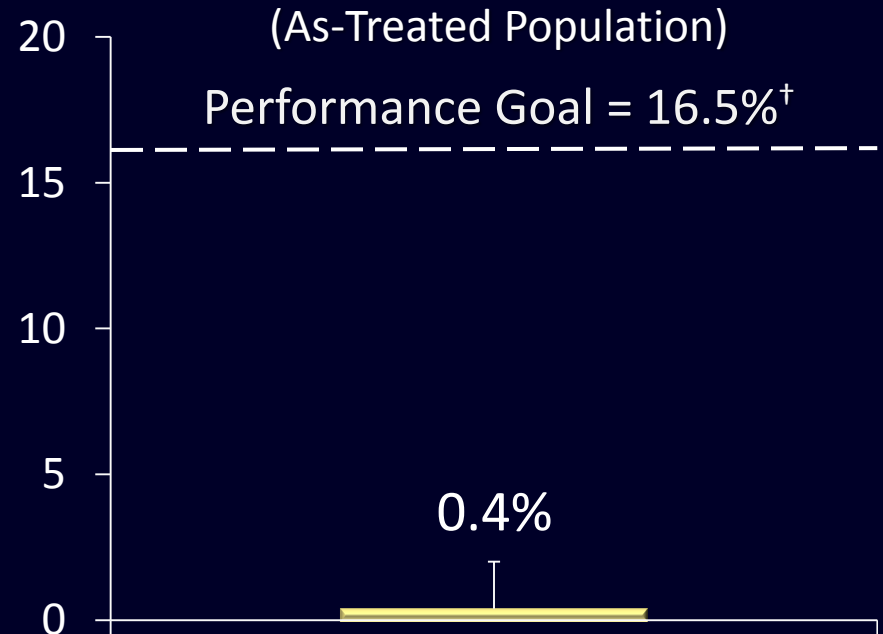
(ITT Population)



*2.0% ± UCB (4.36%)
is significantly below the
performance goal (P<0.001)*

Moderate/Severe Paravalvular Regurgitation at Hospital Discharge

(As-Treated Population)



*0.4% ± UCB (2.01%)
is significantly below the
performance goal (P<0.001)*

* Based on an expected rate of 10% (based on literature review) plus a test margin of 4%. Intent-to-treat population.

† Based on an expected rate of 16.5% from the FRANCE 2 registry

P values are from the one-sample z test.

Safety Endpoints – Periprocedural

500-Patient Interim Analysis

| | |
|--------------------------------------|--------------|
| Major vascular complications | 1.6% (8/492) |
| Periprocedural myocardial infarction | 0.2% (1/492) |
| Coronary obstruction | 0.2% (1/492) |
| Cardiac tamponade | 0.4% (2/492) |
| Valve migration | 0% (0/492) |
| Valve embolisation | 0.2% (1/492) |
| Ectopic valve deployment | 0.2% (1/492) |
| TAV-in-TAV deployment | 0.2% (1/492) |
| Mitral apparatus damage | 0.2% (1/492) |
| Ventricular septal perforation | 0% (0/492) |

As-treated population.

Safety Endpoints at 30 Days

500-Patient Interim Analysis

| | |
|--|-----------------|
| All-cause mortality | 1.9% (9/483) |
| Cardiovascular mortality | 1.7% (8/483) |
| All stroke | 3.9% (19/483) |
| Disabling stroke | 2.7% (13/483) |
| Life-threatening or disabling bleeding | 1.7% (8/483) |
| Myocardial infarction (>72h post-procedure) | 0.2% (1/483) |
| Acute kidney injury (Stage 2 or 3) | 1.7% (8/483) |
| Repeat procedure for valve-related dysfunction | 0% (0/483) |
| Valve- or CHF-related repeat hospitalisation | 0.8% (4/483) |
| Newly implanted permanent pacemaker | 30.6% (148/483) |
| Pacemaker dependent at 30 days (site-reported) | 36.5% (54/148) |

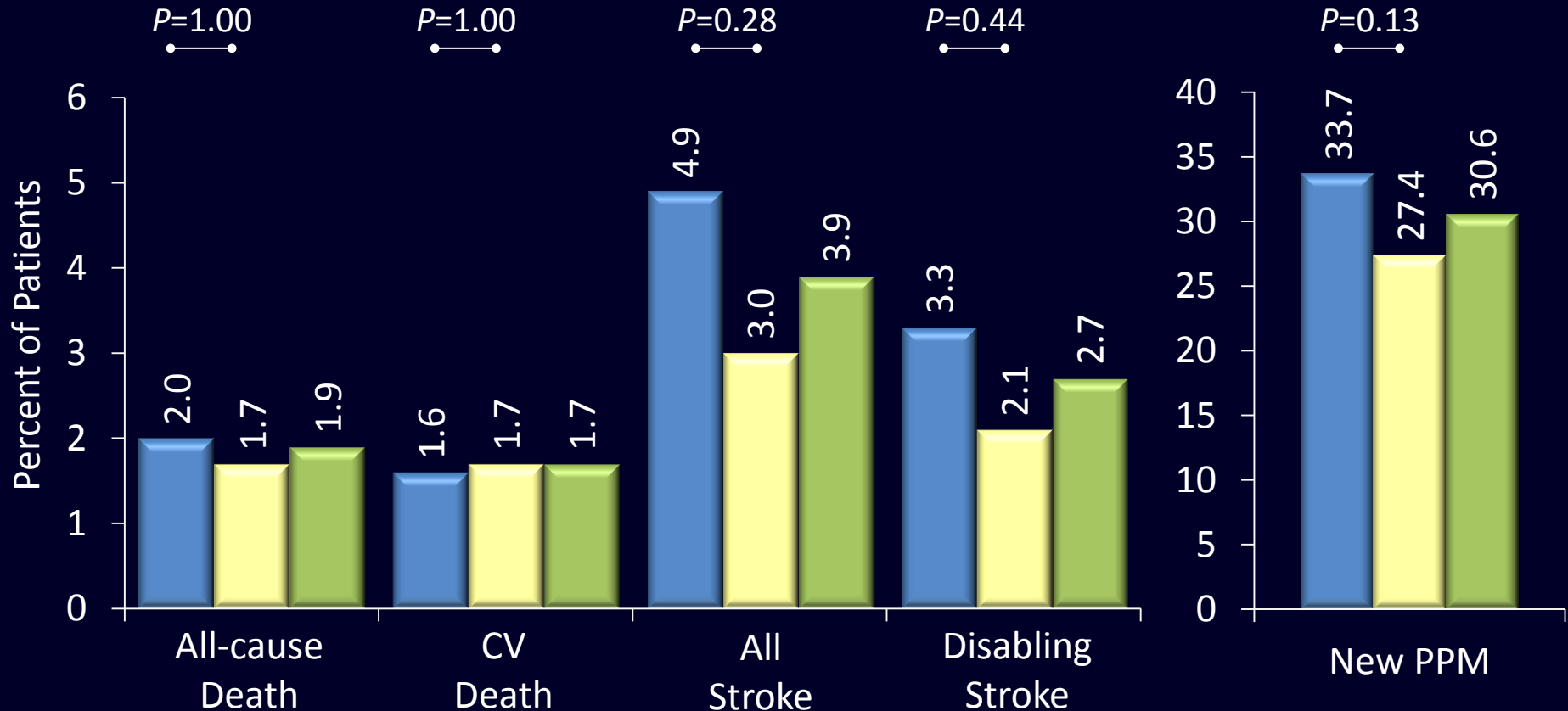
As-treated population.

Principal Safety Results by 1st or 2nd 250 Pts RESPOND

1st 250 Pts (N=246)

2nd 250 Pts (N=237)

Total (N=483)

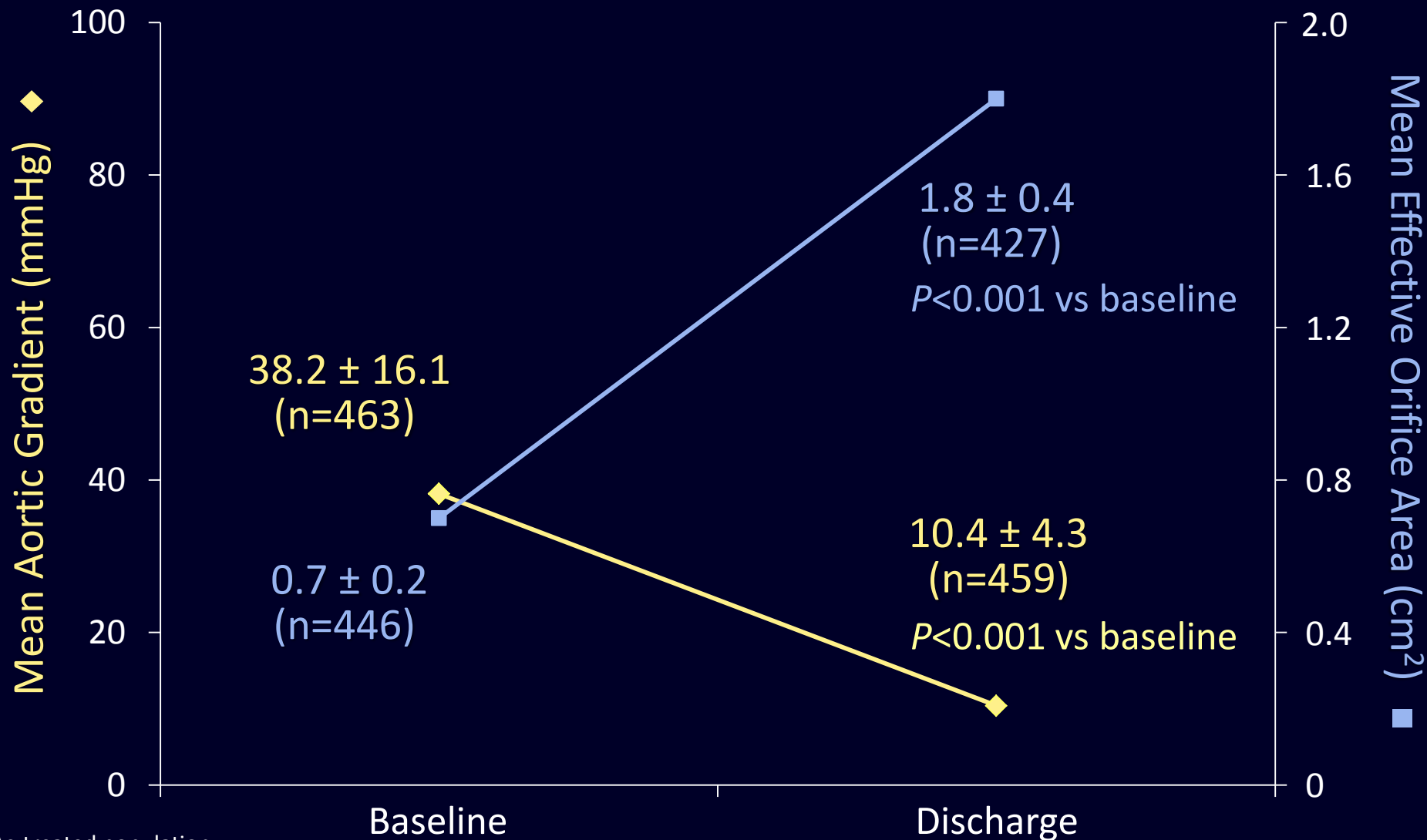


As-treated population

Information not intended for use in France. Lotus is an investigational device and not for sale or distribution in the US. CE Mark received 2013. Information for the Lotus Valve System is for use in countries with applicable product registrations. Indications, contraindications, warnings, and Instructions for use can be found in the product labeling supplied with each device.

Mean Aortic Gradient & EOA

*500-Patient Interim Analysis
Core-Lab Adjudicated Data*

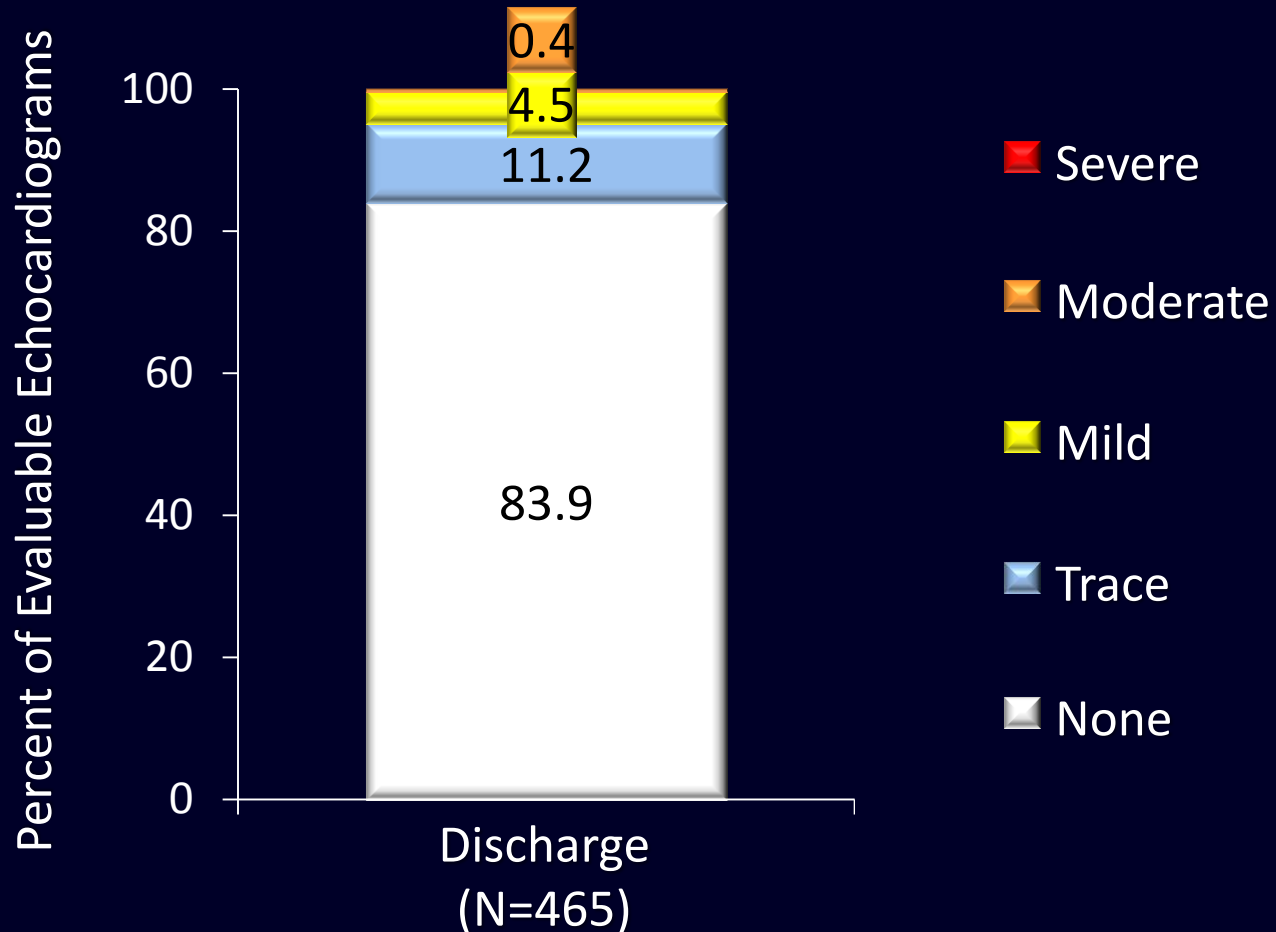


As-treated population

Paravalvular Aortic Regurgitation

500-Patient Interim Analysis

Core-Lab Adjudicated Data



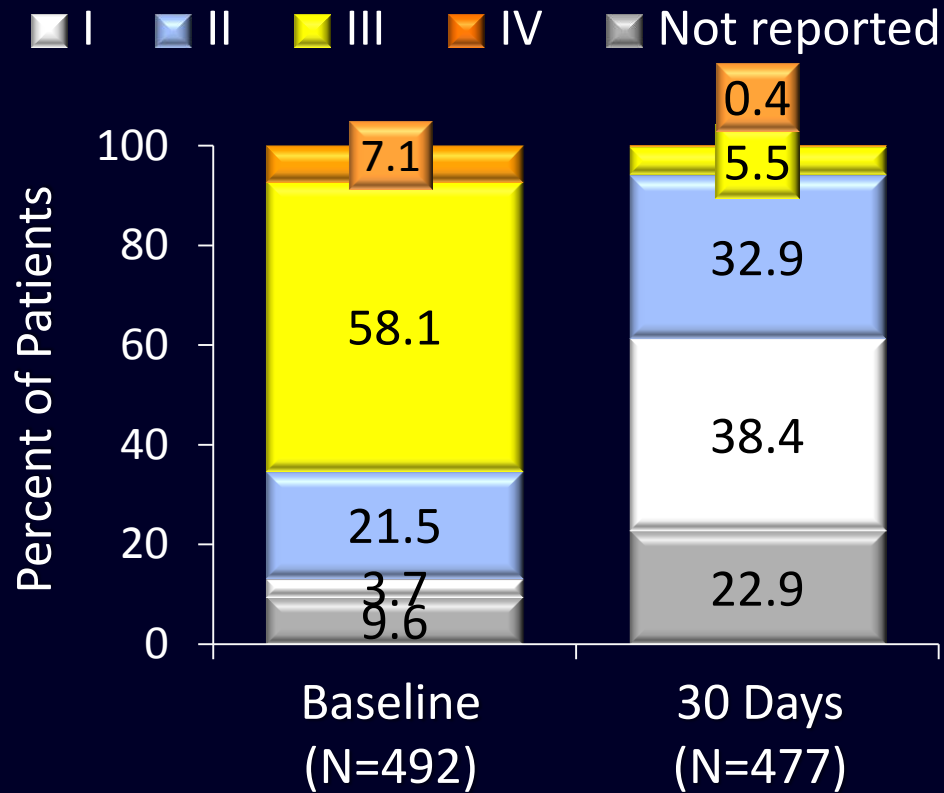
As-treated population

NYHA Functional Class & Quality of Life

500-Patient Interim Analysis

RESPOND

NYHA Class

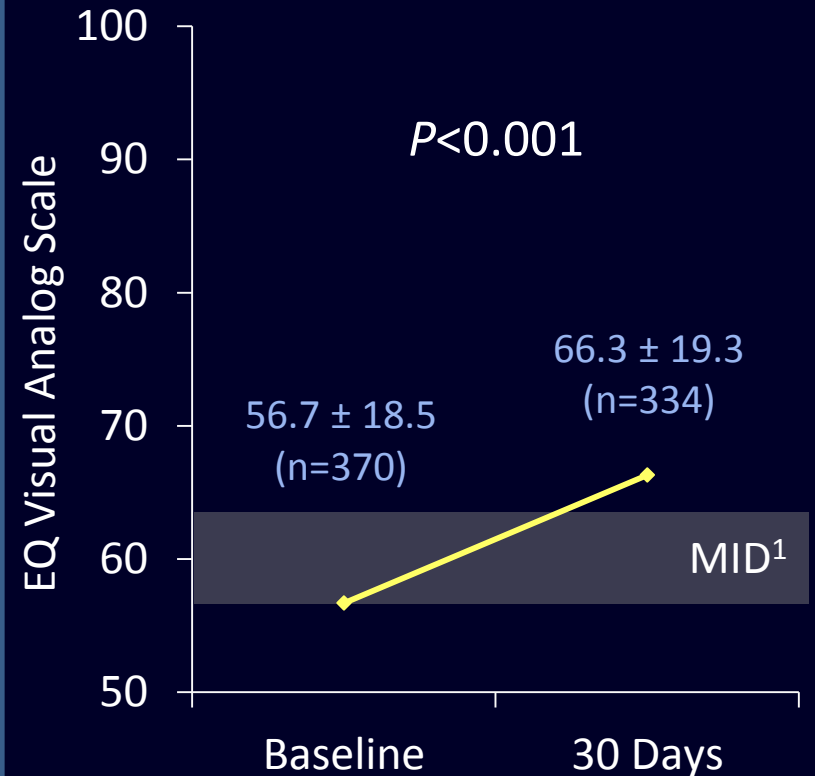


P value vs baseline <0.001

Improved at least 1 class 80.2%

Improved at least 2 classes 34.4%

EQ-5D Visual Analog Scale



MID = Minimally Important Difference (clinically meaningful change)

¹Pickard AS, Neary MP, Cella D. *Health Qual Life Outcomes*. 2007, 5:70

As-treated population

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Conclusions

500-Patient Interim Analysis

- Outcomes at 30 days with the Lotus Valve as reported in prior clinical studies of selected patients are reproducible in routine clinical practice
 - 30-day mortality in patients who received a Lotus Valve was 1.9% in a real-world, elderly population with baseline STS Score of 6.7
 - 30-day disabling stroke was 2.7%
 - Minimal paravalvular regurgitation at hospital discharge by independent core lab adjudication
 - No patients with severe PVL and 0.4% moderate PVL
 - New permanent pacemaker rate in the second 250 cohort of enrolled patients was 27.4%, for an overall rate of 30.6% at 30 days
- Primary endpoint data from the full population of 1,000 patients anticipated to be available in 2016